Updated Clinical Classification of Pulmonary Hypertension

Gerald Simonneau, MD,* Michael A. Gatzoulis, MD, PhD,† Ian Adatia, MD,‡
David Celermajer, MD, PhD,§ Chris Denton, MD, PhD,|| Ardeschir Ghofrani, MD,¶
Miguel Angel Gomez Sanchez, MD,# R. Krishna Kumar, MD,** Michael Landzberg, MD,††
Roberto F. Machado, MD,††† Horst Olschewski, MD,§§ Ivan M. Robbins, MD,||||
Rogiero Souza, MD, PhD,¶¶

Le Kremlin-Bicêtre and Paris, France; London, United Kingdom; Edmonton, Alberta, Canada;
Sydney, Australia; Marburg, Germany; Madrid, Spain; Kerala, India; Boston, Massachusetts;
Chicago, Illinois; Graz, Austria; Nashville, Tennessee; and São Paulo, Brazil

In 1998, a clinical classification of pulmonary hypertension (PH) was established, categorizing PH into groups which share similar pathological and hemodynamic characteristics and therapeutic approaches. During the 5th World Symposium held in Nice, France, in 2013, the consensus was reached to maintain the general scheme of previous clinical classifications. However, modifications and updates especially for Group 1 patients (pulmonary arterial hypertension [PAH]) were proposed. The main change was to withdraw persistent pulmonary hypertension of the newborn (PPHN) from Group 1 because this entity carries more differences than similarities with other PAH subgroups. In the current classification, PPHN is now designated number 1. Pulmonary hypertension associated with chronic hemolytic anemia has been moved from Group 1 PAH to Group 5, unclear/multifactorial mechanism. In addition, it was decided to add specific items related to pediatric pulmonary hypertension in order to create a comprehensive, common classification for both adults and children. Therefore, congenital or acquired left-heart inflow/outflow obstructive lesions and congenital cardiomyopathies have been added to Group 2, and segmental pulmonary hypertension has been added to Group 5. Last, there were no changes for Groups 2, 3, and 4.

(J Am Coll Cardiol 2013;62:D34–41) © 2013 by the American College of Cardiology Foundation

Pulmonary hypertension (PH) was previously classified into 2 categories: 1) primary pulmonary hypertension; or 2) secondary pulmonary hypertension according to the presence of identified causes or risk factors (1).

Since the second World Symposium on pulmonary hypertension held in Evian, in 1998 (2), a clinical classification was established in order to individualize different categories of PH sharing similar pathological findings, similar hemodynamic characteristics and, similar management. Five groups of disorders that cause PH were identified: pulmonary arterial hypertension (Group 1); pulmonary hypertension due to left heart disease (Group 2);

From the *Assistance publique-Hôpitaux de Paris, Service de Pneumologie, Hôpital Universitaire de Bicêtre, Université Paris-Sud, Laboratoire d'excellence en recherche sur le médicament et innovation thérapeutique, and INSERM, Unité 999, Le Kremlin-Bicêtre, France; ] Adult Congenital Heart Centre and Centre for Pulmonary Hypertension, Royal Brompton Hospital and the National Heart and Lung Institute, Imperial College, London, United Kingdom; || University of Alberta, Stollery Children’s Hospital and Mazankowski Alberta Heart Institute, Edmonton, Alberta, Canada; §§Heart Research Institute, Royal Prince Alfred Hospital, University of Sydney, Sydney, Australia; |||Centre for Rheumatology and Connective Tissue Diseases, Division of Medicine, Royal Free Campus, UCL Medical School, London, United Kingdom; ¶¶University of Giessen and Marburg Lung Center, Giessen, Hesse, Germany; †Pediatric Cardiology, Service Hospitalier Universitaire 12 de Octobre, Madrid, Spain; **Pediatric Cardiology, Amrita Institute of Medical Sciences, Cochin, Kerala, India; ††Children’s Hospital, Boston, Massachusetts; †††University of Illinois, Chicago, Illinois; §§§Institute for Lung and Vascular Research, Medical University of Graz, Graz, Austria; ||||Vanderbilt University Medical Center, Nashville, Tennessee; and the ¶¶¶Pulmonary Department, Heart Institute, University of São Paulo, Medical School, São Paulo, Brazil. Dr. Simonneau has served on advisory boards of Eli Lilly, Actelion, Pfizer, Bayer-Schering, GlaxoSmithKline, and Novartis; has received payment for conferences from Actelion, Pfizer, Bayer-Schering, GlaxoSmithKline, and Novartis; has served on advisory boards of Actelion, Actelion, Myogen, and the NHLBI; and is on the steering committee for Actelion. Dr. Machado has received institutional grant support (without salary support) from Encysive, Actelion, Novartis, and Genzyme; and has received unrestricted educational grants from Actelion and Pfizer UK. Dr. Adatia is a member of Critical Events Committee for the tadalafl study in pediatrics with Eli Lilly. Dr. Celermajer is a member of the speakers’ bureau, serves on the advisory board of, and receives travel and research support from Actelion. Dr. Denton has received payment for consultancy and speaker’s fees from Actelion, Pfizer, GlaxoSmithKline, Digna, Sanofi Aventis, Boehringer Ingelheim, Roche, CSL Behring, and Genzyme; has received grant funding from Encysive, Actelion, Novartis, and Genzyme; and has served as clinical trial investigator and steering committee member for Pfizer, Actelion, Sanofi-Aventis, MedImmune, Digna, United Therapeutics, Novartis, and Celgene. Dr. Denton has received consultancy and speaker fees from Actelion, Pfizer, GlaxoSmithKline, Digna, Sanofi-Aventis, Boehringer Ingelheim, Roche, CSL Behring, and Genzyme. Dr. Ghofrani has received grant support from Actelion, Novartis, and Celgene. Dr. Ghofrani has received support from Actelion, Bayer, GlaxoSmithKline, Digna, Sanofi-Aventis, Boehringer Ingelheim, Roche, CSL Behring, and Genzyme. Dr. Machado has received support from Actelion, Bayer, GlaxoSmithKline, Digna, Novartis, and Pfizer. Dr. Machado has received honoraria for consultations and speaking at conferences from Actelion, Bayer, GlaxoSmithKline, Novartis, Pfizer, United Therapeutics, and Ferrer Pharma. Dr. Landzberg has received research grants from Actelion, Myogen, and the NHLBI; and is on the steering committee for Actelion.
pulmonary hypertension due to chronic lung disease and/or hypoxia (Group 3); chronic thromboembolic pulmonary hypertension (Group 4); and pulmonary hypertension due to unclear multifactorial mechanisms (Group 5). During the successive world meetings, a series of changes were carried out, reflecting some progresses in the understanding of the disease. However, the general architecture and the philosophy of the clinical classification were unchanged. The current clinical classification of pulmonary hypertension (3) is now well accepted and, widely used in the daily practice of pulmonary hypertension experts. It has been adopted by the Guidelines Committee of the Societies of Cardiology and, Pneumology (4,5). Moreover, this classification is currently used by the U.S. Food and Drug Administration and the European Agency for Drug Evaluation for the labelling of new drugs approved for pulmonary hypertension.

During the Fifth World Symposium held in 2013 in Nice, France, the consensus was to maintain the general disposition of previous clinical classification. Some modifications and updates, especially for Group 1, were proposed according to new data published in the last years. It was also decided in agreement with the Task Force on Pediatric PH to add some specific items related to pediatric pulmonary hypertension in order to have a comprehensive classification common for adults and children (Table 1).

**Group 1: Pulmonary Arterial Hypertension (PAH)**

Since the second World Symposium in 1998, the nomenclature of the different subcategories of Group 1 have markedly evolved and, additional modification were made in the Nice classification.

**Heritable Pulmonary Hypertension**

In 80% of families with multiple cases of pulmonary arterial hypertension (PAH), mutations of the bone morphogenetic protein receptor type 2 (BMPR2), a member of the tumor growth factor (TGF)-beta super family, can be identified (6). In addition, 5% of patients have rare mutations in other genes belonging to the TGFβ super family: activin-like receptor kinase-1 (ALK1) (7), endoglin (ENG) (8), and mothers against decapentaplegic 9 (Smad 9) (9). Approximately 20% of families have no detectable mutations in currently known disease-associated genes. Recently two new gene mutations have been identified: a mutation in caveolin-1 (CAV1) which encodes a membrane protein of caveolae, abundant in the endothelial cells of the lung (10), and KCNK3, a gene encoding potassium channel super family K member-3 (11). The identification of these new genes not intimately related to TGFβ signaling may provide new insights into the pathogenesis of PAH.

**Drug- and Toxin-Induced Pulmonary Hypertension**

A number of drugs and toxins have been identified as risk factors for the development of PAH and were included in the previous classification (3). Risk factors were categorized according to the strength of evidence, as definite, likely, possible, or unlikely (Table 2).

A definite association is defined as an epidemic or large multicenter epidemiologic studies demonstrating an association between a drug and PAH. A likely association is defined as a single case-control study demonstrating an association or a multiple-case series. Possible is defined as drugs with similar mechanisms of action as those in the definite or likely category but which have not yet been studied. Last, an unlikely association is defined as one in which a drug has been studied in epidemiologic studies and an association with PAH has not been demonstrated.

Over the last 5 years, new drugs have been identified or suspected as potential risk factors for PAH.

Since 1976, Benfluorex (MEDIATOR, Laboratories Servier, Neuilly-sur-Seine, France) has been approved in Europe as a hypolipidemic and hypoglycemic drug. This drug is in fact a fenfluramine derivative, and its main metabolite is norfenfluramine, similar to Isomeride. Benfluorex, due to its pharmacological properties, was withdrawn from the market in all European countries after 1998 (date of the worldwide withdrawal of fenfluramine derivatives), except in France where the drug was marketed until 2009 and was frequently used between 1998 and 2009 as a replacement for Isomeride. The first case series reporting benfluorex-associated PAH was published in 2009. In addition to 5 cases of severe PAH, 1 case of valvular disease was also reported (12). Recently, Savale et al. (13) reported 85 cases of PAH associated with benfluorex exposure, identified in the French national registry from 1999 to 2011. Of these cases, 70 patients had confirmed pre-capillary functional class II–IV PAH.

**Abbreviations and Acronyms**

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHD</td>
<td>congenital heart disease</td>
</tr>
<tr>
<td>HAART</td>
<td>highly active antiretroviral therapy</td>
</tr>
<tr>
<td>HIV</td>
<td>human immunodeficiency virus</td>
</tr>
<tr>
<td>IFN</td>
<td>interferon</td>
</tr>
<tr>
<td>PAH</td>
<td>pulmonary arterial hypertension</td>
</tr>
<tr>
<td>PAP</td>
<td>pulmonary arterial pressure</td>
</tr>
<tr>
<td>PH</td>
<td>pulmonary hypertension</td>
</tr>
<tr>
<td>PPH</td>
<td>portopulmonary hypertension</td>
</tr>
<tr>
<td>PPHN</td>
<td>persistent pulmonary hypertension of the newborn</td>
</tr>
<tr>
<td>PVR</td>
<td>pulmonary vascular resistance</td>
</tr>
<tr>
<td>SCD</td>
<td>sickle cell disease</td>
</tr>
<tr>
<td>Sch-PAH</td>
<td>schistosomiasis-associated PAH</td>
</tr>
<tr>
<td>TGF</td>
<td>tumor growth factor</td>
</tr>
<tr>
<td>TKI</td>
<td>tyrosine kinase inhibitor</td>
</tr>
</tbody>
</table>

Actelion and United Therapeutics; and has served on advisory boards of Gilead and United Therapeutics. Dr. Olschewski has received consultancy and lecture fees from Actelion, Bayer, Lilly, Gilead, GlaxoSmithKline, Pfizer, and Uthman; and consultancy fees from NebuTec. Dr. Robbins has received honoraria from United Therapeutics, Gilead, and Actelion for attending advisory board meetings; has received honoraria from Actelion, Gilead, United Therapeutics, and Bayer; and he has been the primary investigator on industry-sponsored studies from Actelion, Gilead, United Therapeutics, GeNO, Novartis, and Aires in which payment was made to Vanderbilt University. Dr. Souza has received consultancy/lecture fees from Bayer. All other authors report that they have no relationships relevant to the contents of this paper to disclose.

Manuscript received October 15, 2013; accepted October 22, 2013.
Table 1: Updated Classification of Pulmonary Hypertension*

1. Pulmonary arterial hypertension
   1.1 Idiopathic PAH
   1.2 Heritable PAH
   1.2.1 BMPR2
   1.2.2 ALK-1, ENG, SMA9, CAV1, KCNK3
   1.2.3 Unknown
   1.3 Drug and toxin induced
   1.4 Associated with:
      1.4.1 Connective tissue disease
      1.4.2 HIV infection
   1.4.3 Portal hypertension
   1.4.4 Congenital heart diseases
   1.4.5 Schistosomiasis
1. Pulmonary veno-occlusive disease and/or pulmonary capillary hemangiomatosis
1. Persistent pulmonary hypertension of the newborn (PPHN)
2. Pulmonary hypertension due to left heart disease
   2.1 Left ventricular systolic dysfunction
   2.2 Left ventricular diastolic dysfunction
   2.3 Valvular disease
   2.4 Congenital/acquired left heart inflow/outflow tract obstruction and congenital cardiomyopathies
3. Pulmonary hypertension due to lung diseases and/or hypoxia
   3.1 Chronic obstructive pulmonary disease
   3.2 Interstitial lung disease
   3.3 Other pulmonary diseases with mixed restrictive and obstructive pattern
   3.4 Sleep-disordered breathing
   3.5 Alveolar hypventilation disorders
   3.6 Chronic exposure to high altitude
   3.7 Developmental lung diseases
4. Chronic thromboembolic pulmonary hypertension (CTEPH)
5. Pulmonary hypertension with unclear multifactorial mechanisms
   5.1 Hematologic disorders: chronic hemolytic anemia, myeloproliferative disorders, splenectomy
   5.2 Systemic disorders: sarcoidosis, pulmonary histiciosis, lymphangioleiomyomatosis
   5.3 Metabolic disorders: glycogen storage disorder, Gaucher disease, thyroid disorders
   5.4 Others: tumor obstruction, fibrosing mediastinitis, chronic renal failure, segmental PH

*5th WSPH Nice 2013. Main modifications to the previous Dana Point classification are in bold.

Table 2: Updated Classification for Drug- and Toxin-Induced PAH*

<table>
<thead>
<tr>
<th>Definite</th>
<th>Possible</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aminorex</td>
<td>Cocaine</td>
</tr>
<tr>
<td>Fenfluramine</td>
<td>Phenylpropanolamine</td>
</tr>
<tr>
<td>Dexfenfluramine</td>
<td>St. John’s wort</td>
</tr>
<tr>
<td>Toxic rapeseed oil</td>
<td>Chemotherapeutic agents</td>
</tr>
<tr>
<td>Benfluorex</td>
<td>Interferon α and β</td>
</tr>
<tr>
<td>SSRI (SSRI)</td>
<td>Amphetamine-like drugs</td>
</tr>
</tbody>
</table>

Likely | Unlikely
--- | ---
| Amphetamines | Oral contraceptives |
| 3,4-Dihydroxyamphetamine | Estrogen |
| Methamphetamine | Cigarette smoking |
| Dasatinib | |

*pNice 2013. (Selective serotonin reuptake inhibitor (SSRIs) have been demonstrated as a risk factor for the development of persistent pulmonary hypertension in the newborn (PPHN) in pregnant women exposed to SSRIs (especially after 20 weeks of gestation). PPHN does not strictly belong to Group 1 (pulmonary arterial hypertension [PAH]) but to a separated Group 1. Main modification to the previous Dana Point classification are in bold.

Montani et al. (16) recently published incidental cases of dasatinib-associated PAH reported in the French registry. Between November 2006 and September 2010, 9 cases treated with dasatinib at the time of PH diagnosis were identified. At diagnosis, patients had moderate to severe pre-capillary PH confirmed by heart right catheterization. No other PH cases were reported with other TKIs at the time of PH diagnosis. Interestingly, clinical, functional, and hemodynamic improvements were observed within 4 months of dasatinib discontinuation in all but 1 patient. However, after a median follow-up of 9 months, most patients did not demonstrate complete recovery, and 2 patients died. Today, more than 13 cases have been observed in France among 2,900 patients treated with dasatinib for CML during the same period, giving the lowest estimate incidence of dasatinib-associated PAH of 0.45%. Finally, notifications of almost 100 cases of PH have been submitted for European pharmaceutical vigilance. Dasatinib is considered a likely risk factor for PH (Table 2).

Few cases of PAH associated with the use of interferon (IFN)-α or -β (17,18) have been published so far. Recently, all cases of PAH patients with a history of IFN therapy notified in the French PH registry were analyzed (19). Fifty-three patients with PAH and a history of IFN use were identified between 1998 and 2012. Forty-eight patients were treated with IFN-α for chronic hepatitis C; most of them had an associated risk factor for PH such as human immunodeficiency virus (HIV) infection and/or portal hypertension. Five other cases were treated with IFNβ for multiple sclerosis; those patients did not have any associated risks factor for PAH. The mean delay between initiation of IFN therapy and PAH diagnosis was approximately 3 years. Sixteen additional patients with previously documented PAH were treated with IFN-α for hepatitis C and showed a significant increase in pulmonary vascular resistance (PVR) within a few months of therapy initiation; in half of them, withdrawal of IFN resulted in a marked hemodynamic...
improvement. Regarding a potential mechanism, several experimental studies have found that IFN-α and INF-β induced the release of endothelin-1 by pulmonary vascular cells (20).

In summary, this retrospective analysis of the French registry together with experimental data suggested that IFN therapy may be a trigger for PAH. However, most of the patients exposed to IFN also had some other risk factors for PAH, and a prospective case control study is mandatory to definitively establish a link between IFN exposure and development of PAH. At this time, IFN-α and -β are considered possible risk factors of PH.

Persistent PH of the newborn (PPHN) is a life-threatening condition that occurs in up to 2 per 1,000 live-born infants. During the past 15 years, many studies have specifically assessed the associations between use of serotonin reuptake inhibitors (SSRIs) during pregnancy and the risk of PPHN with discordant results from no association to 6-fold increased risk (21–26).

A recent study involving nearly 30,000 women who had used SSRIs during pregnancy found that every use in late pregnancy increased the risk of PPHN by more than 2-fold. Based on this large study, SSRIs can be considered a definite risk factor for PPHN (27). Whether exposure to SSRIs is associated with an increased risk of PAH in adults is unclear.

Although presently there is no demonstrated association with PAH, several drugs with mechanisms of actions similar to amphetamines, used to treat a variety of conditions including obesity (fentermine/topiramate [Qsyma]), attention deficit disorder (methylphenidate) (28), Parkinson’s disease (ropinirole), and narcolepsy (mazindol), need to be monitored closely for an increase in cases of PAH.

In summary, several new drugs have recently been identified as definite, likely, or possible risk factors for PAH. In order to improve detection of potential drugs that induce PAH, it is important to outline the critical importance of obtaining a detailed history of current and prior exposure in every PAH patient. The proliferation of national and international registries should provide the unique opportunity to collect these data prospectively. In addition, one must emphasize the need to report all side effects of drugs to local pharmaceutical agencies and pharmaceutical companies.

**PAH Associated With Connective Tissue Diseases**

The prevalence of PAH is well established only in scleroderma, and rate of occurrence is estimated between 7% and 12% (29,30). The prognosis for patients with PAH associated with scleroderma remains poor and worse compared to other PAH subgroups. The 1-year mortality rate in patients with idiopathic PAH is approximately 15% (31) versus 30% in PAH-associated with scleroderma (32). Recent data suggest that in scleroderma, early diagnosis and early intervention may improve long-term outcome (33). Interestingly, it has been recently demonstrated that scleroderma patients with a mean pulmonary artery pressure (PAP) between 21 and 24 mm Hg are at high risk for the development of overt PH within 3 years and should be closely followed (34).

**PAH Associated With HIV Infection**

The prevalence of PAH associated with HIV infection has remained stable within the last decade, estimated to be 0.5% (35). Before the era of highly active antiretroviral therapy (HAART) and the development of specific PAH drugs, the prognosis for HIV-PAH was extremely poor, with a mortality rate of 50% in 1 year (36). The advent of HAART and the wide use of PAH therapies in HIV patients have dramatically improved their prognosis, and the current survival rate at 5 years in the French cohort is more than 70% (37). Interestingly, approximately 20% of these cases experience a normalization of hemodynamic parameters after several years of treatment (38).

**PAH Associated With Portal Hypertension**

Hemodynamic studies have shown that PAH is confirmed in 2% to 6% of patients with portal hypertension, so called portopulmonary hypertension (POPH) (39,40). The risk of developing POPH is independent of the severity of the liver disease (41). Long-term prognosis is related to the severity of cirrhosis and to cardiac function (41). There is wide discrepancy in the published survival estimates of patients with POPH. In, the U.S. REVEAL registry (42) patients with POPH had a poor prognosis, even worse that those with idiopathic PAH with a 3-year survival rate of 40% versus 64%, respectively. In the French registry, the 3-year survival rate of POPH was 68%, slightly better than that of idiopathic PAH (43). These discordant results are likely explained by important differences with respect to the severity of liver disease. In the U.S. REVEAL registry, most of these patients were referred from liver transplantation centers, whereas in the French cohort, most patients had mild cirrhosis (39–43).

**PAH Associated With Congenital Heart Disease in Adults**

Increasing numbers of children with congenital heart disease (CHD) now survive to adulthood. This reflects improvement in CHD management in recent decades, and both the number and complexity of adults with CHD continue to increase. It is estimated that 10% of adults with CHD may also have PAH (44). The presence of PAH in CHD has an adverse impact on quality of life and outcome (45,46).

A well-recognized clinical phenotype of patients with volume and pressure overload (i.e., with large ventricular or arterial shunts) are at much higher risk of developing early PAH than patients with volume overload only (i.e., with atrial shunts). Nevertheless, there are some exceptions, and
we speculate that a permissive genotype might place some patients with CHD at higher risk of developing PAH. Given the prevalence of PAH among adults with CHD, we suggest that every patient with CHD merits an appropriate assessment in a tertiary setting to determine whether PAH is present. While it is anticipated that the number of patients with Eisenmenger syndrome, the extreme end of the PAH/CHD spectrum, complicated also by chronic cyanosis, will decrease in the coming years and there will be an increasing number of patients with complex and/or repaired CHD surviving to adulthood with concomitant PH (47). The present clinical subclassification of PAH associated with CHDs has evolved sensibly from 2008. It remains clinical and simple, thus widely applicable. Importantly, it is now aligned with the Nice Pediatric classification, as PAH in association with CHD is a lifelong disease (Table 3). We have proposed criteria for shunt closure in patients with net left to right shunting who may represent a management dilemma (Table 4). Other types of PH in association with CHD who do not belong to Group 1 (PAH) are included in different groups of the general clinical classification (i.e., congenital or acquired left heart inflow/outflow obstructive lesions and congenital cardiomyopathies in Group 2). Segmental PH (PH in one or more lobes of one or both lungs) is included in Group 5. In addition, some patients with PH associated with CHD are difficult to classify, such as patients with transposition of great arteries and those with PH following atrial redirection surgery or following neonatal arterial switch operation. This reinforces the need to delineate the underlying cardiac anatomy/physiology and severity of PAH/PVR in every single patient. Here we make specific reference to patients with the Fontan circulation (atrio- or cavopulmonary connections as palliation for “single ventricle” type hearts), who do not fulfill standard criteria for PH but may have an increased PVR. There are very limited surgical alternatives for this group of patients with complex anatomy/physiology. There has been some recent evidence of potential clinical response to specific PAH therapies in Fontan patients, which needs further exploration before therapeutic recommendations can be made (48,49).

### PAH Associated With Schistosomiasis

Schistosomiasis-associated PAH (Sch-PAH) was included in Group 1 in 2008. Previously it was in Group 4 (chronic thromboembolism disease). Today, Sch-PAH is potentially the most prevalent cause of PAH worldwide. Schistosomiasis affects over 200 million people, of whom 10% develop hepatosplenic schistosomiasis (50). PAH occurs almost exclusively in this population, and 5% of patients with hepatosplenic schistosomiasis may develop PAH (51). The hemodynamic profile of Sch-PAH is similar to that of POPH (52). Its mortality rate may reach up to 15% at 3 years (52). Recent uncontrolled data indicate that PAH therapies may benefit patients with Sch-PAH (53).

### Chronic Hemolytic Anemia

Chronic hemolytic anemia such as sickle cell disease, thalassemia, spherocytosis, and stomatocytosis are associated with an increased risk of PH. The cause of PH is unclear and multifactorial, including chronic thromboembolism, splenectomy, high cardiac output, left-heart disease, and hyperviscosity; the role of an inactivation of nitric oxide by free plasma hemoglobin due to chronic hemolysis is controversial (54,55).

The prevalence and characteristic of PH in chronic hemolytic anemia has been extensively studied only in sickle cell disease (SCD). In SCD, PH confirmed by right-heart catheterization and defined as a mean PAP $\geq 25$ mm Hg occurs in 6.2% (56) to 10% of patient (57). Post-capillary PH due to left-heart disease represents the most frequent cause, with a prevalence of 3.3% (56) to 6.3% (57). The prevalence of pre-capillary PH is lower but not rare: 2.9% (56) to 3.7% (57). The classification of pre-capillary PH associated with SCD has evolved during the successive world meetings, revealing uncertainties in potential causes.

### Table 3

Updated Clinical Classification of Pulmonary Arterial Hypertension Associated With Congenital Heart Disease

<table>
<thead>
<tr>
<th>Classification</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Eisenmenger syndrome</td>
<td>Includes all large intra- and extra-cardiac defects which begin as systemic-to-pulmonary shunts and progress with time to severe elevation of pulmonary vascular resistance (PVR) and to reversal (pulmonary-to-systemic) or bidirectional shunting; cyanosis, secondary erythrocytosis and multiple organ involvement are usually present.</td>
</tr>
</tbody>
</table>
| 2. Left-to-right shunts | *Correctable*
| | *Noncorrectable* |
| | Include moderate to large defects; PVR is mildly to moderately increased systemic-to-pulmonary shunting is still prevalent, whereas cyanosis is not a feature. |
| 3. Pulmonary arterial hypertension (PAH) with coincidental congenital heart disease | Marked elevation in PVR in the presence of small cardiac defects, which themselves do not account for the development of elevated PVR; the clinical picture is very similar to idiopathic PAH. To close the defects in contraindicated. |
| 4. Post-operative PAH | Congenital heart disease is repaired but PAH either persists immediately after surgery or recurs/develops months or years after surgery in the absence of significant postoperative hemodynamic lesions. The clinical phenotype is often aggressive. |

*Nice 2013.

### Table 4

Criteria for Closing Cardiac Shunts in PAH Patients Associated With Congenital Heart Defects*

<table>
<thead>
<tr>
<th>PVRI, Wood units/m²</th>
<th>PVR, Wood units</th>
<th>Correctable</th>
</tr>
</thead>
<tbody>
<tr>
<td>$&lt; 4$</td>
<td>$&lt; 2.3$</td>
<td>Yes</td>
</tr>
<tr>
<td>$&gt; 8$</td>
<td>$&gt; 4.6$</td>
<td>No</td>
</tr>
<tr>
<td>4-8</td>
<td>2.3–4.6</td>
<td>Individual patient evaluation in tertiary centers</td>
</tr>
</tbody>
</table>

*Criteria: the long-term impact of defect closure in the presence of pulmonary arterial hypertension (PAH) with increased PVR is largely unknown. There are a lack of data in this controversial area, and caution must be exercised. Correctable with surgery or intravascular nonsurgical procedure. PVRI = pulmonary vascular resistance index; PVR = pulmonary vascular resistance index.
### Hemodynamic Characteristics in Patients With PH Associated With SCD in 3 Different Cohorts: France, Brazil, and United States

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>French Cohort (56)</th>
<th>Brazilian Cohort (57)</th>
<th>U.S. Cohort (62)</th>
</tr>
</thead>
<tbody>
<tr>
<td>RAP, mm Hg</td>
<td>10 ± 6</td>
<td>—</td>
<td>10 ± 5</td>
</tr>
<tr>
<td>mPAP, mm Hg</td>
<td>30 ± 6</td>
<td>33.1 ± 8.9</td>
<td>36 ± 9</td>
</tr>
<tr>
<td>PCWP, mm Hg</td>
<td>16 ± 7</td>
<td>16.0 ± 5.7</td>
<td>16 ± 5</td>
</tr>
<tr>
<td>CO, l/min-CI</td>
<td>8.7 ± 1.9</td>
<td>5.00 ± 1.36</td>
<td>8 ± 3</td>
</tr>
<tr>
<td>PVR, dyn·s·cm⁻²</td>
<td>138 ± 58</td>
<td>179 ± 120</td>
<td>229 ± 149</td>
</tr>
</tbody>
</table>

*Cardiac index used instead of cardiac output in the Brazilian cohort.

CI = cardiac index; CO = cardiac output; mPAP = mean pulmonary artery pressure; PCWP = pulmonary capillary wedge pressure; PH = pulmonary hypertension; PVR = pulmonary vascular resistance; RAP = right atrial pressure; SCD = sickle cell disease.

### Other PH Groups

Persistent PH of the newborn has been withdrawn from Group 1 (PAH) because this entity carries more vascular injuries are quite common in patients with SCD; however, not a single case with plexiform lesions could be found (61).

Three recent hemodynamic studies provided baseline hemodynamic data in patients with SCD and PH (56–62). Findings were similar among the 3 cohorts, with a high cardiac output between 8 and 9 l/min and moderate elevation in mean PAP (from 30 to 60 mm Hg) (Table 5). SCD patients with a pre-capillary PH had a modest elevation in PVR that was 3 or 4 times less than PVR observed in other PAH subgroups (Table 6). Among the 11 patients of the French SCD cohort with a confirmed pre-capillary PH (mean pulmonary artery pressure [mPAP] >25 mm Hg and pulmonary capillary wedge pressure ≤15 mm Hg), no patient fulfilled the hemodynamic criteria for PAH, defined as PVR >250 dyn·s·cm⁻² (56).

Specific therapies approved for the treatment of PAH include prostacyclin derivatives, endothelin receptor antagonists, and phosphodiesterase-5 inhibitors. However, none of these agents is currently approved for the treatment of PH associated with SCD due to the lack of data in this specific population. Recently, the effect of bosentan was assessed in a randomized double-blind placebo-controlled trial of patients with sickle cell disease and PH (63). Overall, bosentan appeared to be well tolerated, although the small sample size precluded an analysis of its efficacy. Another randomized, double-blind, placebo-controlled study designed to evaluate the safety and efficacy of sildenafil was prematurely halted after interim analysis showed that sildenafil-treated patients were likely to have more acute sickle cell pain crises (35%) than placebo-treated patients (14%) (64). Furthermore, there was no evidence of treatment-related improvement at the time of study termination (64).

In summary, pre-capillary PH associated with SCD appears significantly different from other forms of PH in regard to pathological findings, hemodynamic characteristics, and response to PAH-specific therapies. Therefore, it was decided to move PH associated with SCD from Group 1 (PAH) to Group 5 (unclear multifactorial mechanisms).

### Comparison of Hemodynamics at Diagnosis in Different PAH Subgroups and in Pre-Capillary PH Associated With SCD

<table>
<thead>
<tr>
<th>Hemodynamic</th>
<th>IPAH (43) (n = 286)</th>
<th>CTD-PAH (43) (n = 157)</th>
<th>POPH (43) (n = 127)</th>
<th>CHD-PAH (43) (n = 35)</th>
<th>HIV-PAH (38) (n = 58)</th>
<th>PH-SCD (43,56) (n = 11)</th>
</tr>
</thead>
<tbody>
<tr>
<td>RAP, mm Hg</td>
<td>8 ± 5</td>
<td>7 ± 5</td>
<td>7 ± 6</td>
<td>7 ± 5</td>
<td>8 ± 5</td>
<td>5 ± 2</td>
</tr>
<tr>
<td>mPAP, mm Hg</td>
<td>49 ± 13</td>
<td>41 ± 9</td>
<td>47 ± 12</td>
<td>51 ± 16</td>
<td>49 ± 10</td>
<td>28 ± 4</td>
</tr>
<tr>
<td>PCWP, mm Hg</td>
<td>9 ± 4</td>
<td>8 ± 4</td>
<td>9 ± 04</td>
<td>8 ± 4</td>
<td>9 ± 5</td>
<td>10 ± 3</td>
</tr>
<tr>
<td>Cardiac index, l/min/m²</td>
<td>2.4 ± 0.8</td>
<td>2.8 ± 0.9</td>
<td>3.0 ± 1.0</td>
<td>3.0 ± 1.0</td>
<td>2.9 ± 0.7</td>
<td>5.8 ± 1.3</td>
</tr>
<tr>
<td>PVR, dyn·s·cm⁻²</td>
<td>531 ± 461</td>
<td>649 ± 379</td>
<td>611 ± 311</td>
<td>753 ± 370</td>
<td>737 ± 328</td>
<td>178 ± 55</td>
</tr>
</tbody>
</table>

Values are mean ± SD.

CHD = congenital heart disease; CTD = connective tissue disorders; HIV = human immunodeficiency virus; IPAH = idiopathic pulmonary arterial hypertension; mPAP = mean pulmonary artery pressure; PCWP = pulmonary capillary wedge pressure; PH = pulmonary hypertension; POPH = portopulmonary hypertension; PVR = pulmonary vascular resistance; RAP = right atrial pressure; SCD = sickle cell disease.
differences than similarities with other PAH subgroups. In the current classification, PPHN is designated 1. In agreement with the pediatric classification (65), congenital or acquired left–heart inflow/outflow obstructive lesions and congenital cardiomyopathies have been added to Group 2. There is no change for Group 3 (PH due to lung diseases and/or hypoxia) and for Group 4 (chronic thromboembolism). In Group 5 (unclear multifactorial mechanisms) chronic hemolytic anemia and segmental PH (pediatric classification) have been included. An update for Groups 2, 3, and 4 will be published separately in the same issue of this supplement (66–68), providing some important adaptations regarding definitions and management.

Reprint requests and correspondence: Dr. Gérald Simonneau, Department of Pneumology and ICU, University Hospital Bicêtre, AP-HP, 78 Avenue du Général Leclerc 92275, Le Kremlin Bicêtre, France. E-mail: gerald.simonneau@bct.aphp.fr.

REFERENCES


Key Words: chronic thromboembolic pulmonary hypertension • PH due to chronic lung diseases • PH due to left heart disease • pulmonary arterial hypertension • pulmonary hypertension.